



**Instructions**

Please print using blue or black ink. Please keep a copy for your records and send completed form to the following address or fax it to 1-866-439-8602.

NC Plans Processing Center  
PO Box 5340  
Scranton, PA 18505

**Questions?**  
Call 1-866-627-5267  
for assistance.

**About You**

Plan number

0 0 2 0 0 3

Who is your employer?

(Please print entire employer name)

What Department do you work in?

(Please print entire department name)

Email address: \_\_\_\_\_

Social Security number

\_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_

Daytime telephone number

\_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_

area code

First name

MI Last name

\_\_\_\_\_

**Contribution Information**

I wish to contribute the following from my salary per pay period:

- Before-Tax Contribution Election.
  - \$ \_\_\_\_\_, \_\_\_\_\_ .00 (please provide whole dollars only)
  - OR
  - \_\_\_\_\_ % (please fill in % from 1-80%, in whole percentages)
- Roth After-Tax 401(k) Contribution Election.
  - \$ \_\_\_\_\_, \_\_\_\_\_ .00 (please provide whole dollars only)
  - OR
  - \_\_\_\_\_ % (please fill in % from 1-80%, in whole percentages)

My annual salary is \$\_\_\_\_\_. My pay frequency is \_\_\_\_\_. Please note that if the contribution amount provided is not in the correct format (dollar vs. percentage), Prudential will use your salary information to calculate your contribution in accordance with what your payroll requires.

**Investment Allocation**

Fill out Part I, II or Part III. Please complete only one section.

*(Please fill out Part I, II or Part III. Do not fill out more than one section.)*

By completing one of these sections you enroll in GoalMaker®, Prudential's asset allocation program, and you direct Prudential to invest your contribution(s) according to a GoalMaker model portfolio that is based on your risk tolerance and time horizon. You also direct Prudential to automatically rebalance your account according to the model portfolio chosen upon enrollment and on a quarterly basis. Enrollment in GoalMaker can be canceled or changed at anytime.

**Part I GoalMaker with Automatic Age Adjustment:**

Choose Your Risk Tolerance       Conservative       Moderate       Aggressive

GoalMaker also automatically adjusts your allocations over time based on your current age and the expected retirement age. To ensure that your allocations are updated correctly please confirm your expected retirement age below. If an Expected Retirement Age is not provided, age 65 will be used.

Expected Retirement Age: \_\_\_\_\_

**OR**

**Part II GoalMaker without Automatic Age Adjustment:**

By completing this section, I confirm that I do not want to take advantage of GoalMaker's Age-Adjustment Feature. Please invest my contributions according to the model portfolios selected below.

Please refer to the Retirement Planning Guide for more information.

**GoalMaker Model Portfolio (check one box only)**

Time Horizon	Conservative	Moderate	Aggressive
0 to 5 Years to retirement	<input type="checkbox"/> C01	<input type="checkbox"/> M01	<input type="checkbox"/> R01
6 to 10 Years to retirement	<input type="checkbox"/> C02	<input type="checkbox"/> M02	<input type="checkbox"/> R02
11 to 15 Years to retirement	<input type="checkbox"/> C03	<input type="checkbox"/> M03	<input type="checkbox"/> R03
16 Plus Years to retirement	<input type="checkbox"/> C04	<input type="checkbox"/> M04	<input type="checkbox"/> R04

**OR**

**Part III Design your own investment allocation**

Please designate the percentage of your contribution to be invested in each of the available investment options. (Please use whole percentages. The total must equal 100%.)

I wish to allocate my contributions to the Plan as follows:

Percent Allocated	Codes	Investment Options
_____ %	NM	North Carolina Stable Value Fund - 401K Plan
_____ %	YA	NC Fixed Income Fund
_____ %	YK	NC Fixed Income Index Fund
_____ %	NS	NC Inflation Responsive Fund
_____ %	YG	NC Large Cap Value Fund
_____ %	YH	NC Large Cap Index Fund
_____ %	YF	NC Large Cap Growth Fund
_____ %	YE	NC Small Mid Cap Value Fund
_____ %	YD	NC Small Mid Cap Index Fund
_____ %	YB	NC Small Mid Cap Growth Fund
_____ %	YI	NC International Index Fund
_____ %	YC	NC International Fund
_____ %	YJ	NC Global Equity Fund
<b>1, 0, 0</b> %	<b>Total</b>	

If you would like to make a change to how the existing money is invested in your account, please log into your account on [www.ncplans.prudential.com](http://www.ncplans.prudential.com) or contact us at 1-866-627-5267.

**Your Authorization**

I direct my employer to make payroll deductions as I have indicated. I understand that Prudential will rely on the information I have provided in processing my request. I further understand that I am responsible for its accuracy in the event any dispute arises with respect to the transaction.

  X   \_\_\_\_\_ Date \_\_\_\_\_

*Participant's signature*

Social Security Number \_\_\_\_\_